



#### Iowa Guidance on Phased Easing of Restrictions for Long-Term Care Facilities Updated June 9, 2020

Beginning March 13, 2020, Iowa long-term care facilities began implementing guidance from the Centers for Medicare and Medicaid Services (CMS) that outlined recommended restrictions to normal operations in attempt to mitigate the entry and spread of COVID-19. This guidance has been further supported by additional Iowa agencies, such as, the Iowa Department of Inspections and Appeals (DIA) and the Iowa Department of Public Health (IDPH).

While public health mitigation efforts remain critically important, especially in long-term care settings where residents may be more vulnerable to virus exposure, the state acknowledges that it is equally important to consider the quality of life and dignity of the residents of long-term care facilities. Based on recent guidance from CMS, the state has collaborated with long-term care associations on how to responsibly ease restrictions in long-term care facilities while COVID-19 remains in communities across the state. This guidance is based on currently available best-practice recommendations and evidence and may be updated as additional information becomes available.

The guidance below is specifically targeted at long-term care facilities (e.g., nursing homes). Other facilities or congregate care settings, such as assisted living or residential care facilities, may choose to follow an independently developed framework for easing restrictions. Guidance from the Centers for Disease Control (CDC) for COVID-19 mitigation strategies for assisted living congregate settings is found at:

- https://www.cdc.gov/coronavirus/2019-ncov/hcp/assisted-living.html
- https://www.cdc.gov/coronavirus/2019-ncov/community/shared-congregate-house/guidanceshared-congregate-housing.html

#### Phase 1

Phase 1 is designed for vigilant infection control during periods of heighted virus spread in the community and potential for healthcare system limitations, which may include factors such as staffing, hospital capacity, Personal Protective Equipment (PPE), and testing.

Consideration	Mitigation Steps
Visitation	<ul> <li>Visitation generally prohibited, except for:         <ul> <li>Compassionate care situations restricted to end-of-life and psychosocial needs; and</li> <li>Under limited and controlled conditions, coordinated by the facility, in consideration of social distancing and universal source control (e.g., window visits). Note: these limited and controlled visits may be included in the facility's temporary visitation policy and are not mandated; but rather at the discretion of the facility.</li> <li>Compassionate care visitors are screened upon entry and additional precautions are taken, including social distancing and</li> </ul> </li> </ul>





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Consideration	Mitigation Steps	
	hand hygiene. All visitors must wear a cloth face covering or facemask for the duration of their visit. The facility must provide a face mask to the visitor, in the event they do not have one, to ensure universal source control.	
	Facility should have policies in place for virtual visitation, whenever possible, to include:	
	Access to communication with friends, family, and their spiritual community.	
	Access to the Long-Term Care Ombudsman.	
Essential/Non-Essential Healthcare Personnel	<ul> <li>Restricted entry of non-essential healthcare personnel. Non-essential personnel may be allowed into the building following an infection control risk analysis by the facility.</li> </ul>	
	<ul> <li>All healthcare personnel are screened upon entry and additional precautions are taken, including hand hygiene, donning of appropriate PPE as determined by the task; and at a minimum wearing a face mask for the duration of their visit.</li> </ul>	
Non-Medically Necessary	Telemedicine should be utilized whenever possible.	
Trips	Non-medically necessary trips outside the building should be	
	avoided.	
	For medically necessary trips away from of the facility:	
	<ul> <li>The resident must wear a cloth face covering or facemask;</li> </ul>	
	and	
	<ul> <li>The facility must share the resident's COVID-19 status with the transportation service and entity with whom the resident has the appointment.</li> </ul>	
	<ul> <li>Transportation staff, at a minimum, must wear a facemask.</li> <li>Additional PPE may be required.</li> </ul>	
	<ul> <li>Transportation equipment shall be sanitized between transports.</li> </ul>	
	<ul> <li>Quarantine for 14 days upon return if asymptomatic and not in a positive COVID-19 status.</li> </ul>	
Communal Dining	<ul> <li>Communal dining not recommended but must be limited (for COVID-19 negative or asymptomatic residents only).</li> </ul>	
	<ul> <li>Residents may eat in the same room with social distancing (limited</li> </ul>	
	number of people at tables and spaced by at least 6 feet).	
	<ul> <li>No more than 10 individuals in a dining area at one time.</li> </ul>	
	<ul> <li>If staff assistance is required, appropriate hand hygiene must occur between residents.</li> </ul>	
Screening	<ul> <li>Resident screening each shift. It should be clearly documented in the facility policies when shift screenings should occur and how it is tracked. It is not required that residents be woken up if asleep during an overnight shift as long as residents are evaluated at least twice in a 24 hour period.</li> </ul>	



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Consideration	Mitigation Steps			
	<ul> <li>Staff screening at the beginning and end of each shift.</li> </ul>			
Universal Source Control	All facility staff, regardless of their position, wear a cloth face			
& Personal Protective	covering or face mask while in the facility.			
Equipment (PPE)	All facility staff and essential healthcare personnel wear appropriate			
	PPE when they are interacting with residents, to the extent PPE is			
	available, and in accordance with CDC PPE optimization strategies.			
	Additional universal source control recommendations can be found			
	throughout this document (e.g., visitors, essential healthcare			
	personnel).			
	New admissions or readmissions from a hospital setting should			
	quarantine for 14 days.			
Cohorting & Dedicated	Dedicated space in facility and dedicated staff for cohorting and			
Staff*	managing care for residents who are symptomatic or testing positive			
	with COVID-19.			
	Plan to manage new admissions and readmissions with an unknown			
	COVID- 19 status.			
	<ul> <li>Plan to manage residents who routinely attend outside medically</li> </ul>			
	necessary appointments (e.g., dialysis).			
Group Activities	Restrict group activities but some activities may be conducted (for			
	COVID-19 negative or asymptomatic residents only) with social			
	distancing, hand hygiene, and use of a cloth face covering or			
	facemask.			
	Engagement through technology is preferred to minimize			
	opportunity for exposure.			
	Facilities should have policies in place to engage virtually, where			
	possible, in activities that improve quality of life (e.g. church			
	service, art classes, concerts, etc.).			
Testing	Facility shall report progress towards completion of baseline testing			
	for staff and residents, as described in Appendix A.			
	Staff and residents shall be tested if any symptoms are detected or if			
	a positive case of COVID-19 has been identified, as described in			
	Appendix A.			
Company A attacks	See Appendix B & C for additional guidance on testing supplies.      Investigation of complete allowing there is an immediate period.			
Survey Activity	Investigation of complaints alleging there is an immediate serious  threat to the residents/ health and enfaty (known as Immediate).			
	threat to the residents' health and safety (known as Immediate			
	Jeopardy).			
	<ul> <li>Revisit surveys to confirm the facility has removed any Immediate Jeopardy findings.</li> </ul>			
	Facus of infaction control our cour			
	<ul> <li>Focused infection control surveys.</li> <li>Initial survey to certify that the provider has met the required</li> </ul>			
	conditions to participate in the Medicare.			
	<ul> <li>Any other survey as authorized or required by CMS.</li> </ul>			
	, ,			
	<ul> <li>State based priorities, such as hot spots.</li> </ul>			





#### Phase 2

Facility may decide to initiate Phase 2 upon alignment with the following metrics:

14 days since last positive or suspected case identified. (See Appendix A regarding testing recommendations that should be completed prior to moving to Phase 2.)
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Adequate staffing levels.
Adequate supply of PPE to adhere fully to CDC guidance for proper PPE use for infection control
as described at <a href="https://www.cdc.gov/coronavirus/2019-ncov/hcp/using-ppe.html">https://www.cdc.gov/coronavirus/2019-ncov/hcp/using-ppe.html</a> .
Ability of local hospital to accept referrals/transfers.
Capable of cohorting residents with dedicated staff in the case of suspected or positive cases.
A downward trend in number of cases or the % positivity over the past 14 days in the county.
Facility shall report their Phase status to the Regional Medical Coordination Center.
Facilities may use discretion to be more restrictive in areas, where deemed appropriate through
internal policies, even if they have moved to this Phase.

Visitation  • Visitation limited to compassionate care situations to include end- of-life and residents with significant changes in condition including psycho-social or medical issues.  • Compassionate Care visits shall be limited as follows:  • By appointment only as coordinated by the nursing home based on their ability to manage infection control practices and proper social distancing.  • Only in designated areas to ensure safe distancing, proper hand hygiene, universal source control, and overall facility supervision of safe practices related to visitors. Note: each facility must determine their capacity to manage limited visits, based on considerations, such as, staff availability to screen visitors, availability of supplies to support universal source control (e.g., face masks), monitoring for visitor compliance with safe visitation practices, and disinfection of area between visits.  • Facilities may limit the number of visitors for each resident per week and per occurrence.  • Preference should be given to outdoor visitation opportunities like parking lot visits with distancing.  • All Visitors are screened upon entry.  • Visitors unable to pass the screening or comply with infection control practices like masks should refrain from visiting.  • Types of visitation from the Phase 1 may continue under limited controlled conditions coordinated by the facility in consideration of social distancing and universal source control (e.g., window visits).		
of-life and residents with significant changes in condition including psycho-social or medical issues.  Compassionate Care visits shall be limited as follows:  By appointment only as coordinated by the nursing home based on their ability to manage infection control practices and proper social distancing.  Only in designated areas to ensure safe distancing, proper hand hygiene, universal source control, and overall facility supervision of safe practices related to visitors. Note: each facility must determine their capacity to manage limited visits, based on considerations, such as, staff availability to screen visitors, availability of supplies to support universal source control (e.g., face masks), monitoring for visitor compliance with safe visitation practices, and disinfection of area between visits.  Facilities may limit the number of visitors for each resident per week and per occurrence.  Preference should be given to outdoor visitation opportunities like parking lot visits with distancing.  All Visitors are screened upon entry.  Visitors unable to pass the screening or comply with infection control practices like masks should refrain from visiting.  Types of visitation from the Phase 1 may continue under limited controlled conditions coordinated by the facility in consideration of	Consideration	
Facility should have policies in place for virtual visitation, whenever	Visitation	<ul> <li>of-life and residents with significant changes in condition including psycho-social or medical issues.</li> <li>Compassionate Care visits shall be limited as follows: <ul> <li>By appointment only as coordinated by the nursing home based on their ability to manage infection control practices and proper social distancing.</li> <li>Only in designated areas to ensure safe distancing, proper hand hygiene, universal source control, and overall facility supervision of safe practices related to visitors. Note: each facility must determine their capacity to manage limited visits, based on considerations, such as, staff availability to screen visitors, availability of supplies to support universal source control (e.g., face masks), monitoring for visitor compliance with safe visitation practices, and disinfection of area between visits.</li> <li>Facilities may limit the number of visitors for each resident per week and per occurrence.</li> <li>Preference should be given to outdoor visitation opportunities like parking lot visits with distancing.</li> </ul> </li> <li>All Visitors are screened upon entry.</li> <li>Visitors unable to pass the screening or comply with infection control practices like masks should refrain from visiting.</li> <li>Types of visitation from the Phase 1 may continue under limited controlled conditions coordinated by the facility in consideration of social distancing and universal source control (e.g., window visits).</li> </ul>



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Consideration	Mitigation Steps				
	possible, to include:				
	<ul> <li>Access to communication with friends, family, and their spiritual</li> </ul>				
	community.				
	Access to the Long-Term Care Ombudsman.				
Essential/Non-Essential	<ul> <li>Limited entry of non-essential healthcare personnel based on risk</li> </ul>				
Healthcare Personnel	<ul> <li>analysis by the facility infection control team, including the entry of barbers and beauticians. If barbers and beauticians are determined a low risk for entry, the following mitigation steps should be followed.</li> <li>Salons may open so long as the beautician or barber is</li> </ul>				
	properly screened when entering the facility and must wear a mask for the duration of time in the facility.				
	The beautician or barber must remain in the salon area and avoid common areas of the facility.				
	<ul> <li>Salons must limit the number of residents in the salon at one time to accommodate ongoing social distancing.</li> </ul>				
	<ul> <li>Staged appointments should be utilized to maintain distancing and allow for infection control.</li> </ul>				
	Salons must properly sanitize equipment and salon chairs				
	between each resident; and the beautician or barber must				
	perform proper hand hygiene.				
	No hand-held dryers.				
	<ul> <li>Salons must routinely sanitize high-touch areas.</li> </ul>				
	<ul> <li>Residents must wear a face mask during their salon visit.</li> </ul>				
	All healthcare personnel are screened upon entry and additional				
	precautions are taken, including hand hygiene, donning of				
	appropriate PPE as determined by the task; and at a minimum wearing a face mask for the duration of their visit.				
Non-Medically Necessary	Telemedicine should be utilized whenever possible.				
Trips	Non-medically necessary trips outside the building should be avoided.				
	For medically necessary trips away from of the facility:				
	The resident must wear a cloth face covering or facemask;				
	and				
	The facility must share the resident's COVID-19 status with				
	the transportation service and entity with whom the resident				
	has the appointment.				
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	Transportation staff, at a minimum, must wear a facemask.  Additional DDE may be required.				
	Additional PPE may be required.				
	Transportation equipment shall be sanitized between transports				
	transports.				
Communal Dining	Quarantine for 14 days upon return if asymptomatic.  Communal dining limited.				
Communal Dining	Communal dining limited.  Desired and appropriate the control of the control				
	Residents may eat in the same room with social distancing (limited)				
	number of people at tables and spaced by at least 6 feet).				
	<ul> <li>A limited number of individuals in a dining area at one time, not to</li> </ul>				



Consideration	Mitigation Steps			
	exceed 50 percent of capacity unless that would be less than 10			
	people.			
	If staff assistance is required, appropriate hand hygiene must occur			
	between residents as well as use of appropriate PPE.			
Screening	Residents screening each shift. It should be clearly documented in			
	the facility policies when shift screenings should occur and how it is			
	tracked. It is not required that residents be woken up if asleep during			
	an overnight shift as long as residents are evaluated at least twice a 24 hour period.			
	<ul> <li>Staff screening at the beginning and end of their shift.</li> </ul>			
Universal Source Control	All facility staff, regardless of their position, wear a cloth face			
& PPE	covering or face mask while in the facility.			
	All facility staff and essential healthcare personnel wear appropriate			
	PPE when they are interacting with residents, to the extent PPE is			
	available, and in accordance with CDC PPE optimization strategies.			
	Additional universal source control recommendations can be found			
	throughout this document (e.g., visitors, essential healthcare			
	personnel), and remain in effect until further notice.			
	New Admissions should quarantine for 14 days.			
Cohorting & Dedicated	Dedicated space in facility for cohorting with dedicated staff and			
Staff*	managing care for residents who become symptomatic or test			
	positive with COVID-19;			
	Plan to manage new/readmissions with an unknown COVID- 19     tatus and residents who resultingly attend subside medically.			
	status and residents who routinely attend outside medically			
Group Activities	necessary appointments (e.g., dialysis).  • Limit group activities.			
Oroup Activities	Small group activities may occur with social distancing, hand			
	hygiene, and use of a cloth face covering or facemask and no			
	more than 10 people.			
	Facilities must restrict activities that encourage multiple residents			
	to handle the same object(s) (e.g., ball toss).			
Testing	See guidance for testing in Appendix A.			
	Facility shall report ongoing testing efforts to the Regional Medical			
	Coordination Center as requested.			
	See Appendix B & C for additional guidance on testing supplies.			
Phase Regression	A facility will continue to monitor for the presence of COVID-19 in			
	their buildings. This will occur through resident screening each shift,			
	and staff screening before and after each shift, and leveraging the			
	data points requested by the CDC as reported through the NHSN			
	<ul><li>system.</li><li>The facility will continue to progress through the different phases of</li></ul>			
	adjusting restrictions until one staff or resident is confirmed positive			
	for COVID-19 and another has symptoms, at which time, the facility			
	10. 00 VID 17 and another has symptoms, at which time, the facility			





Consideration	Mitigation Steps		
	<ul> <li>will return to the Phase 1.</li> <li>If the facility must return to Phase 1, and 14 days have passed with no additional residents or staff testing positive for COVID-19, the facility has demonstrated the ability to mitigate the spread of COVID-19 and may return to Phase 2 of the reopening process.</li> </ul>		
Survey Activity	<ul> <li>Investigation of complaints alleging Immediate Jeopardy OR actual harm to residents.</li> <li>Revisit surveys to confirm the facility has removed any Immediate Jeopardy findings or actual harm.</li> <li>Focused infection control surveys.</li> <li>Initial certification surveys.</li> <li>Any other survey as authorized or required by CMS.</li> <li>State based priorities, such as hot spots.</li> </ul>		





### Phase 3

Facilities may decide to initiate Phase 3 upon alignment with the following metrics:			
☐ 14 days since last COVID-19 positive or suspected case identified.			
□ Adequate staffing levels.			

	Adequate staffing levels.
	Adequate supply of PPE to adhere fully to CDC guidance for proper PPE use for infection control
	as described at <a href="https://www.cdc.gov/coronavirus/2019-ncov/hcp/using-ppe.html">https://www.cdc.gov/coronavirus/2019-ncov/hcp/using-ppe.html</a> .
	Ability of local hospital to accept referrals/transfers.
	Capable of cohorting residents with dedicated staff in the case of suspected or positive cases.
	A downward trend in number of cases or the % positivity over the past 14 days in the county.
П	Facility shall report their Phase status to the Regional Medical Coordination Center

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Facilities n	nay use dis	cretion to be mo	re restrictive in	certain areas	, where deemed appropriate
through int	ernal polici	es, even if they	have moved to	this Phase.	

through internal policies, even if they have moved to this i hase.					
Consideration	tion Mitigation Steps				
Visitation -	<ul> <li>All residents should have the ability to have limited visitation.</li> <li>Each facility should develop a limited visitation policy which addresses the following, at minimum: <ul> <li>Visitation schedule, hours, and location.</li> <li>Number of visitors and visits.</li> <li>Infection control practices including proper hand hygiene, universal source control, and overall facility supervision of safe practices related to visitors and social distancing.</li> <li>Use of PPE.</li> <li>By appointment only as coordinated by the nursing home based on their ability to manage infection control practices and proper social distancing.</li> <li>Only in designated areas to ensure safe distancing, proper hand hygiene, universal source control, and overall facility supervision of safe practices related to visitors. Note: each facility must determine their capacity to manage limited visits, based on considerations, such as, staff availability to screen visitors, availability of supplies to support universal source control (e.g., face masks), monitoring for visitor compliance with safe visitation practices, and disinfection of area between visits.</li> <li>Facilities may limit the number of visitors for each resident per week and per occurrence.</li> <li>Preference should be given to outdoor visitation opportunities like parking lot visits with distancing.</li> </ul> </li> <li>All visitors are screened upon entry.</li> <li>Visitors unable to pass the screening or comply with infection</li> </ul>				
	control practices like masks should refrain from visiting.				



Consideration	Mitigation Steps
Consideration	Types of visitation from Phase 1 may continue under limited
	controlled conditions coordinated by the facility in consideration of
	social distancing and universal source control (e.g., window visits).
Essential/Non-Essential	Limited entry of non-essential healthcare personnel to include     barbare and beauticians. See salan guidance below for mitigation.
Healthcare Personnel	barbers and beauticians. See salon guidance below for mitigation steps.
	All healthcare personnel are screened upon entry and additional
	precautions are taken, including hand hygiene, donning of
	appropriate PPE as determined by the task; and at a minimum wearing a face mask for the duration of their visit.
Non-Medically Necessary	Non-medically necessary trips outside the building should be limited.
Trips	It is recommended residents with high-risk co-morbidities continue to
	avoid non-medically necessary trips outside the building; with overall
	decisions made collaboratively by the resident, their representative,
	a nursing home representative, and the resident's physician.
	For medically necessary and limited non-medically
	necessary trips away from of the facility:  The resident must wear a cloth face covering or facemask;
	and
	The facility must share the resident's COVID-19 status with
	the transportation service and entity with whom the resident
	has the appointment.
	Transportation staff, at a minimum, must wear a facemask.  Additional RDF many barrandard.
	<ul><li>Additional PPE may be required.</li><li>Transportation equipment shall be sanitized between</li></ul>
	transports.
	Observe for 14 days upon return.
Communal Dining	Modified Communal dining.
	Residents may eat in the same room with social distancing (limited)
	number of people at tables to ensure space of at least 6 feet).
	If staff assistance is required, appropriate hand hygiene must occur  hetween regidents.
Screening	<ul> <li>between residents.</li> <li>Residents screening daily. It should be clearly documented in the</li> </ul>
Screening	facility policies when daily screening should occur and how it is
	tracked.
	Staff screening at the beginning and end of their shift.
Universal Source Control &	All facility staff, regardless of their position, should wear a cloth face
PPE	covering or face mask while in the facility.
	All facility staff and essential healthcare personnel wear appropriate
	PPE when they are interacting with residents, to the extent PPE is
	<ul> <li>available, and in accordance with CDC PPE optimization strategies.</li> <li>Additional universal source control recommendations can be found</li> </ul>
	Additional universal source control recommendations can be found throughout this document (e.g., visitors, essential healthcare)
	personnel), and will remain in effect until further notice.
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of <b>Public Health</b>	
Consideration	Mitigation Steps
Cohorting & Dedicated Staff*	<ul> <li>Dedicated space in facility for cohorting with dedicated staff and managing care for residents who become symptomatic or test positive with COVID-19;</li> <li>Plan to manage new/readmissions with an unknown COVID-19 status and residents who routinely attend outside medically</li> </ul>
	necessary appointments (e.g., dialysis).
Group Activities	<ul> <li>Limit group activities.</li> <li>Expanded group activities may occur with social distancing, hand hygiene, and use of a cloth face covering or facemask.</li> <li>Facilities should restrict activities that encourage multiple residents to handle the same object(s) (e.g., ball toss).</li> </ul>
Salons	<ul> <li>Salons may open so long as the beautician or barber is properly screened when entering the facility and must wear a mask for the duration of time in the facility.</li> <li>The beautician or barber must remain in the salon area and avoid common areas of the facility.</li> <li>Salons must limit the number of residents in the salon at one time to accommodate ongoing social distancing.</li> <li>Staged appointments should be utilized to maintain distancing and allow for infection control.</li> <li>Salons must properly sanitize equipment and salon chairs between each resident; and the beautician or barber must perform proper hand hygiene.</li> <li>No hand-held dryers.</li> <li>Salons must routinely sanitize high-touch areas.</li> <li>Residents must wear a face mask during their salon visit.</li> </ul>
Testing	<ul> <li>See guidance for testing in Appendix A.</li> <li>Facility shall report ongoing testing efforts to the Regional Medical Coordination Center as requested.</li> <li>See Appendix B &amp; C for additional guidance on testing supplies.</li> </ul>
Phase Regression	<ul> <li>A facility will continue to monitor for the presence of COVID-19 in their buildings. This will occur through resident screening daily and staff screening before and after each shift and leveraging the data points requested by the CDC as reported through the NHSN system.</li> <li>The facility will remain in Phase 3 until one staff or resident is confirmed positive for COVID-19 and another has symptoms, at which time, the facility will return to the Phase 1.</li> <li>If the facility must return to Phase 1, and 14 days have passed with no additional residents or staff testing positive for COVID-19, the facility has demonstrated the ability to mitigate the spread of COVID-19 and may return to Phase 2 of the reopening process.</li> </ul>
Survey Activity	All complaint and revisit surveys required to identify and resolve any non-compliance with health and safety requirements





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Consideration	Mitigation Steps
	Standard (recertification) surveys and revisits
	Focused infection control surveys
	Initial certification surveys
	<ul> <li>Any other survey as authorized or required by CMS.</li> </ul>
	State based priorities, such as hot spots.

\*Many senior care communities that include assisted living programs that attached to nursing facilities or are a part of a continuing care retirement community or senior living campus have commonly shared kitchen facilities. In the current public health mitigation environment, facilities should not routinely share direct care, dietary, or environmental services staff who may have contact with residents or tenants in other segments of the senior living operations. If there are identified cases of COVID-19 in other service delivery areas of the campus, there should be no sharing of staff between those care systems.





### Appendix A: Testing Guidance

On May 18, 2020, The Centers for Medicare and Medicaid Services (CMS) issued <a href="QSO-20-30-NH">QSO-20-30-NH</a>, Nursing Home Reopening Recommendations for State and Local Officials. The document provides guidance for State Survey Agencies and other state officials to determine how nursing facilities may begin to lift restrictions placed to mitigate the spread of COVID-19. CMS indicates in this QSO that testing will be a critical part of a facility lifting restrictions on operations.

The state agrees that it is important for all facilities to participate in baseline testing for all residents and staff prior to consideration of lifting restrictions. Baseline testing is critical to understand how the virus may exist in facilities especially among those without symptoms, so that informed decisions can be made and appropriate steps are taken for containment. Comprehensive testing of all staff and residents is encouraged as a baseline regardless of whether a case has been identified or not. At minimum facilities should meet the following testing metrics prior to moving to Phase 2 and also follow this guidance any time a single positive case is identified in a facility:

- If there were one or more positive cases previously in residents, at a minimum, all residents with shared hallways/unit or staff should have been tested. Offering testing to all residents when a positive case is recognized is advised. Additionally weekly testing will be offered to a cohort in a facility experiencing an outbreak.
- All staff, including administrative, should be offered testing regardless of contact with residents that have tested positive for COVID-19.
- Staff declining testing should be treated as having a positive or unknown COVID-19 status and appropriate PPE should be used.

For Phase 2 and 3, the state encourages testing to continue as outlined in previous guidance for residents and staff that:

- Are currently symptomatic.
- Have had close contact with an individual, either at work or in the community that has tested positive for COVID-19.
- Staff that meet either of the above two bullets and decline testing should be treated as having a positive or unknown COVID-19 status and excluded or use recommended PPE as appropriate.





Additionally, the state will be engaging in sentinel testing in facilities across the state during Phase 2 and 3. Sentinel testing will be conducted on a weekly basis with a limited number of facilities and will include a prescribed number of staff, as determined by the lowa Department of Public Health in collaboration with a facility. Sentinel testing will be based on factors such as:

- Virus activity in the community.
- Geographic representation.
- Availability of testing in the community.
- Findings from infection control surveys.
- Reporting of testing efforts and resources by the facility.

The state will work with local public health entities and facilities to access supplies or appropriate funding for baseline testing in Phase 1 as well as case-directed and sentinel testing in Phase 2 and 3. See Appendix B for additional information.

Facilities should report their baseline testing numbers (Phase 1) for residents and staff through their Regional Medical Coordination Centers (RMCC).

For ongoing testing efforts in Phase 2 and 3, facilities should report through their RMCC once reporting surveys are ready to accept data. Definitions for all requested data will be available as part of the RMCC reporting process.





### Appendix B: Testing Supplies and PPE

	Contact	Information Needed
Baseline Testing	State Hygienic Lab	To order testing supply: <a href="http://www.shl.uiowa.edu/kitsquotesforms/clinicalkit.xml">http://www.shl.uiowa.edu/kitsquotesforms/clinicalkit.xml</a> To submit specimens for testing: <a href="http://www.shl.uiowa.edu/results/COVID-19_Electronic_Test_Request_Form_User_Guide.pdf">http://www.shl.uiowa.edu/results/COVID-19_Electronic_Test_Request_Form_User_Guide.pdf</a> SHL courier will pick up specimens at your facility. To request a specimen pick up contact the SHL hotline 855-374-4692 before 11 am.
Ongoing Testing	State Hygienic Lab	Same as above. This includes offering testing in outbreak situations.
State Department of Public Health Sentinel Testing Requests	State Hygienic Lab	Same as above.
Personal Protective Equipment (if unable to procure independently)	Local Emergency Operations Coordinators	Be prepared to itemize the count of PPE needed, by type. <a href="https://www.homelandsecurity.iowa.gov/documents/county/COORD_Public_List.pdf">https://www.homelandsecurity.iowa.gov/documents/county/COORD_Public_List.pdf</a>







### Appendix C: Guidance for long term care facilities to access **COVID-19 testing at the State Hygienic Laboratory**

The State Hygienic Laboratory will perform COVID-19 PCR testing for long term care facilities in accordance with the guidance outlined in this document. This testing will be performed at no cost to the long term care facility. Iowa long term care facilities are NOT required to conduct testing at the State Hygienic Laboratory. Each long term care facility should decide which laboratory they want to use. If Iowa long term care facilities choose to conduct testing at the State Hygienic Laboratory, the procedures outlined below should be followed.

Order Testing Supplies: Long term care facilities should order testing supplies directly by filling out the order form available at: http://www.shl.uiowa.edu/kitsquotesforms/clinicalkit.xml

If the ordering long term care facility is not listed in the system, facilities can enter their information under the "Shipping Information" header.

City: City Name

State: IA **Zip**: 000000

Contact Inforn	nation	
*Name:	Jane Doe	
Department:		
*Telephone:	555-555-5555	
Email:		
Facility:	17413 - AASE HAUGEN HOMES INC, 4 OHIO ST, DECORAH, IA  17469 - ABCM REHABILITATION CENTER, INDEPENDENCE WEST CAMPUS, PO BOX 777, INDEPENDENCE, IA  17470 - ABCM REHABILITATION CENTER, INDEPENDENCE EAST CAMPUS, PO BOX 777, INDEPENDENCE, IA  13812 - ACCORDIUS HEALTH AT ST MARY LLC, 800 E RUSHOLME, DAVENPORT, IA  17757 - ACCURA HEALTHCARE OF AMES, 3440 GRAND AVE, AMES, IA  18062 - ACCURA HEALTHCARE OF BANCROFT, 546 E RAMSEY ST, BANCROFT, IA  17824 - ACCURA HEALTHCARE OF CARROLL, 2241 N WEST ST, CARROLL, IA  17694 - ACCURA HEALTHCARE OF CHEROKEE LLC, 921 RIVERVIEW DR, CHEROKEE, IA  17761 - ACCURA HEALTHCARE OF KNOXVILLE LLC, 606 N 7TH ST, KNOXVILLE, IA  18097 - ACCURA HEALTHCARE OF KNOXVILLE LLC, 606 N 7TH ST, KNOXVILLE, IA  18105 - ACCURA HEALTHCARE OF MARSHALLTOWN, 2401 S 2ND ST, MARSHALLTOWN, IA  18104 - ACCURA HEALTHCARE OF MARSHALLTOWN, 2401 S 2ND ST, MARSHALLTOWN, IA  17612 - ACCURA HEALTHCARE OF NEWTON EAST LLC, 1743 S 8TH AVE E, NEWTON, IA	
	If your facility is not listed or your address is incorrect, please enter your facility and shipping information below.	
Shipping Infor		
Facility:	Long Term Care Facility A	
Street / P.O. Box:	1234 A Street	





- Ordering long term care facilities should select "Virus Isolation and Detection Kit" from the drop-down and type the number of testing kits they need into the "Qty. of Kits" field.

Kit Information	
Virus Isolation and Detection Kit ▼	
150	

<u>Order Tests:</u> Long term care facilities without access to the State Hygienic Laboratory's OpenELIS Web Portal should contact SHL (by calling 855-374-4692) to request facility registration.

Once registered, long term care facilities should submit all specimens using the electronic test request form. Instructions for using the electronic test request form are available at: <a href="http://www.shl.uiowa.edu/results/COVID-19\_Electronic\_Test\_Request\_Form\_User\_Guide.pdf">http://www.shl.uiowa.edu/results/COVID-19\_Electronic\_Test\_Request\_Form\_User\_Guide.pdf</a>.

<u>Test Results:</u> Long terms care facilities should log into the OpenELIS Web Portal to access resident and staff results.

<u>Courier Service:</u> To request a courier pick up of specimens from the long term care facility for delivery to the State Hygienic Laboratory call 855-374-4692.